



## **Unified Carrier Registration Plan** **Board Meeting Agenda**

January 28, 2020  
10:00 a.m. – 1:00 p.m. Central Time

Drury Inn & Suites Riverwalk Hotel  
201 N. St. Mary's St.  
San Antonio, TX 78205

Teleconference  
1-866-210-1669  
5253902#

## UCR Board of Directors Meeting

### AGENDA

#### OPEN TO THE PUBLIC

- I. Welcome and Call to Order** – UCR Board Chair  
The UCR Board Chair will welcome attendees, call the meeting to order, call roll for the Board, and facilitate self-introductions.
- II. Verification of Meeting Notice** – UCR Executive Director  
The UCR Executive Director will verify the publication of the meeting notice on the UCR website and in the *Federal Register*.
- III. Review and Approval of Board Agenda and Setting of Ground Rules** – UCR Board Chair  
*For Discussion and Possible Action*  
Agenda will be reviewed and the Board will consider adoption.  
  
Ground Rules
  - Board action only to be taken in designated areas on agenda
  - Please MUTE your phone
  - Please do NOT place the call on HOLD
- IV. Approval of Minutes of the December 5, 2019 UCR Board Meeting** – UCR Board Chair  
*For Discussion and Possible Action*
  - Minutes of the December 5, 2019 Board meeting will be reviewed. The Board will consider action to approve.
- V. Report of FMCSA** – FMCSA Representative  
FMCSA will provide a report on any relevant activity or rulemaking, including any pending appointments, as well as any update available regarding a final rulemaking on 2020 UCR fees.
- VI. Enforcement Delay** – UCR Executive Director  
*For Discussion and Possible Action*  
The UCR Executive Director will lead a discussion on a recommended enforcement date for the 2020 Registration Year. The Board may act to recommend to law enforcement a 2020 Registration Year enforcement date.
- VII. Data Event Update** – Chief Legal Officer  
The Chief Legal Officer will provide an update to the Board on the action items approved at its August 1, 2019 meeting related to the March 2019 data event.
- VIII. UCR Handbook Amendments** – Vice Chair  
The Board will consider and may act on proposed amendments to the UCR Handbook concerning intrastate DOT numbers and the UCR fees for the 2020 registration year.
- IX. UCR Plan Website Agreement** – Kellen & Seikosoftware  
*For Discussion and Possible Action*  
The Board will consider and may act upon a proposed UCR Plan Website Agreement with either Kellen or Seikosoftware. Each vendor will have approximately 10 minutes to present and the Board will have time to ask questions.

## **X. SUBCOMMITTEE REPORTS**

### **Audit Subcommittee – Subcommittee Chair**

#### **A. State Compliance Review Results – UCR Depository Manager**

##### *For Discussion and Possible Action*

The UCR Depository Manager will report on key findings from recently completed state compliance reviews. The Board may act to adopt recommended corrective actions required by the states in areas deemed not in compliance with UCR policy.

#### **B. State Audit Performance Standards – UCR Depository Manager**

##### *For Discussion and Possible Action*

The UCR Depository Manager will review draft state audit performance standards. The Board may act to adopt state audit performance standards.

#### **C. Report on 2020 State Compliance Reviews – UCR Depository Manager**

The UCR Depository Manager will report on plans for conducting state compliance reviews in 2020 and answer questions.

#### **D. Communication Campaigns – Subcommittee Chair**

##### *For Discussion and Possible Action*

The Subcommittee Chair will lead a discussion on the need for UCR to execute carrier solicitations for states currently running limited or no campaigns of their own. Next, the Subcommittee Chair will discuss the need for UCR to execute communications to carriers, identified through roadside inspections to be operating in interstate commerce, but identified in MCMIS as “inactive” or “intrastate.” The Board may act to adopt the proposals.

#### **E. UCR State-Carrier Audit Methodology – Subcommittee Chair**

##### *For Discussion and Possible Action*

The Board will consider proposed amendments, related to state carrier audits, for the UCR Agreement and Handbook in order to align both guidance documents with current practice and may act to adopt the proposals.

#### **F. Report on the Depository Audit for 2017 and 2018 – UCR Depository Manager**

The UCR Depository Manager will report on results from the 2017 and 2018 Depository audits and answer questions.

#### **G. Report on the Depository Financial Statement Audit for 2019 – UCR Depository Manager**

The UCR Depository Manager will report on the status of the Depository financial audit and answer questions.

#### **H. Potential of Additional Funding for DSL – Subcommittee Chair**

##### *For Discussion and Possible Action*

The Subcommittee Chair will lead a discussion on a proposal for the UCR Board to fund an additional one-half Full Time Equivalent for DSL for the purpose of continuing to process prior year Focused Anomalies Reviews (FARs). The Board may act to adopt this proposal.

## **Finance Subcommittee – Subcommittee Chair**

### **A. Initial 2020 Distributions to States – UCR Depository Manager**

#### *For Discussion and Possible Action*

The Board will review proposed plans for initial distributions to states for 2020 registration year and reducing excess fees from certain past years by including these sums in the distributions. The Board may act to adopt this proposal.

### **B. Certificates of Deposit – UCR Depository Manager**

#### *For Discussion and Possible Action*

The UCR Depository Manager will provide a report on activities required to redeem certificates of deposit at the Bank of North Dakota scheduled to mature on February 5, 2020 as well as discuss reinvestment of the proceeds from the matured CDs. The Board may act to adopt the proposal.

### **C. Board Insurance – UCR Depository Manager**

#### *For Discussion and Possible Action*

The Board will hear a report on plans to procure insurance for the UCR Board and Officers (directors and officers, cybersecurity, general liability). The Board may act to adopt the proposal.

### **D. Financial & Unbudgeted Expense Reserves – UCR Depository Manager**

The UCR Depository Manager will report on the financial and unbudgeted expense reserve fund.

### **E. 2019 Administrative Expenses – UCR Depository Manager**

The UCR Depository Manager will provide a report on 2019 administrative expenses.

## **Education and Training Subcommittee – Subcommittee Chair**

### **A. Report on Plans to Launch Training Modules – UCR Operations Manager**

The UCR Operations Manager will report on plans to launch an initial wave of training modules by June 2020.

### **B. Mandatory Training for States – Subcommittee Chair**

#### *For Discussion and Possible Action*

The Subcommittee Chair will lead a discussion on a proposed policy requiring all participating states to engage in UCR trainings once available. Specifically, the proposed policy would require at least one state representative to participate in any new remote trainings (e.g., videos, webinars) within 30 days of its release, as well as attend any new live/in-person training when scheduled. The Board may act to adopt the policy.

### **C. Travel Reimbursement for Training Attendees – Subcommittee Chair**

#### *For Discussion and Possible Action*

The Subcommittee Chair will lead a discussion on a proposed policy stating that UCR will reimburse one attendee from each state for reasonable travel expenses incurred for attending any mandatory UCR trainings. The Board may act to adopt the policy.

## **XI. Updates Concerning UCR Legislation – Board Chair**

The UCR Board Chair will call for any updates regarding UCR legislation since the last Board meeting.

**XII. Contractor Reports – UCR Executive Director**

- **UCR Executive Director**  
The UCR Executive Director will provide a report covering recent activity for the UCR Program.
- **UCR Administrator (Kellen)**  
The UCR Administrator will provide their management report covering recent activity for the Depository, Operations, and Communications.
- **DSL Transportation Services, Inc.**  
DSL will report on the latest data on state collections based on reporting from the Focused Anomalies Review (FARs) program.
- **Seikosoftware**  
Seikosoftware will provide an update on recent/new activity related to the National Registration System.

**XIII. Other Business – Board Chair**

The UCR Board Chair will call for any business, old or new, from the floor.

**XIV. Adjournment – Board Chair**

The UCR Board Chair will adjourn the meeting.