



Unified Carrier Registration Plan **Board Meeting Agenda**

December 16, 2021
12:00 p.m. – 3:00 p.m. Eastern Time

Zoom Meeting and Screenshare

Meeting URL: <https://kellen.zoom.us/j/91010409956>

Dial-In Information:

Toll Numbers: +1 929 205 6099 or +1 669 900 6833

- or -

Toll Free Numbers: 877 853 5247 or 888 788 0099

Meeting ID: 910 1040 9956

**UNIFIED CARRIER REGISTRATION PLAN
BOARD OF DIRECTORS MEETING
December 16, 2021**

AGENDA

- I. Welcome and Call to Order** – UCR Board Chair
The UCR Board Chair will welcome attendees, call the meeting to order, call roll for the Board, confirm the presence of a quorum, and facilitate self-introductions.
- II. Verification of Publication of Meeting Notice** – UCR Executive Director
The UCR Executive Director will verify publication of the meeting notice on the UCR website and distribution to the UCR contact list via e-mail followed by subsequent publication of the notice in the *Federal Register*.
- III. Review and Approval of Board Agenda** – UCR Board Chair
For Discussion and Possible Board Action
The proposed Agenda will be reviewed, and the Board will consider adoption.

Ground Rules

- Board actions taken only in designated areas on agenda

- IV. Approval of Board Minutes of the November 4, 2021 UCR Board Meeting** – UCR Board Chair
For Discussion and Possible Board Action
Draft Minutes from the November 4, 2021 UCR Board meeting will be reviewed. The Board will consider action to approve.
- V. Report of the Federal Motor Carrier Safety Administration (FMCSA)** – FMCSA Representative
The FMCSA will provide a report on relevant activity.

VI. SUBCOMMITTEE REPORTS

Audit Subcommittee – UCR Audit Subcommittee Chair

A. Review Proposals Received for Independent Audit of the UCR Depository – UCR Executive Director and UCR Depository Manager

For Discussion and Possible Board Action

The UCR Executive Director and the UCR Depository Manager will discuss the proposals received from the respondents to the request-for-proposal (RFP) that was distributed to four selected firms in November 2021. The purpose of the RFP was to begin a process to identify and engage a new independent auditing firm to conduct an assurance engagement of the UCR Depository's financial statements for the year ending December 31, 2021. All four firms responded and provided proposals that have been tabulated, ranked, and will be presented to the Board. The Audit Subcommittee has recommended one of the proposals and that recommendation and the other proposals will be presented to the Board for its consideration and approval as the independent auditors for the Depository's financial statements ending December 31, 2021.

B. Discussion of the UCR Internal Controls Procedures Report Prepared by the Independent Audit Firm – UCR Executive Director

For Discussion and Possible Board Action

The UCR Executive Director will lead a discussion of the report on the internal controls review that was performed by Williams, Benator & Libby (WBL). The response to the report from Kellen will also be reviewed and discussed. The Board may consider amendments to the written internal controls procedures based on WBL’s report.

C. Motor Carriers Selecting Option B for UCR Renewals – UCR Audit Subcommittee Chair, UCR Executive Director, and DSL Transportation Services, Inc. (DSL)

The UCR Audit Subcommittee Chair, UCR Executive Director, and DSL will discuss issues related to motor carriers who select Option B to utilize UCR registration. The discussion will include consideration of the “pros” and “cons” regarding the potential requirement on motor carriers to upload a list of intrastate exempt vehicles to the National Registration System when registering in the portal.

D. Review 49 CFR § 392.2 Violations – UCR Audit Subcommittee Chair and DSL

The UCR Audit Subcommittee Chair and DSL will review the 49 CFR § 392.2 violations in the State of Kansas (“Kansas”). The discussion will highlight the financial value to Kansas by vetting these companies for UCR compliance, commercial registration, IFTA, intrastate, and interstate operating authority. 49 CFR § 392.2 requires commercial motor vehicles to operate in accordance with the laws, ordinances, and regulations of the jurisdiction in which they are operating within.

E. UCR Compliance Snapshot - UCR Audit Subcommittee Chair

The UCR Audit Subcommittee Chair will review audit compliance rates for the states for registration years 2020, 2021, and 2022 and included compliance percentages for Focused Anomaly Reviews (FARs), retreat audits, and registration compliance percentages as mandated by the UCR Board.

Finance Subcommittee – UCR Finance Subcommittee Chair

A. Review of 2022 Administrative Budget – UCR Finance Subcommittee Chair and UCR Depository Manager

For Discussion and Possible Board Action

The UCR Finance Subcommittee Chair and UCR Depository Manager will present and discuss a proposed budget for the 2022 UCR administrative expenses. The Board may take action to approve and adopt the 2022 budget. The UCR Finance Subcommittee has recommended the Board adopt the proposed budget for the 2022 administrative year as presented.

B. Investing Excess Fees for the 2021 Registration Year in Certificates of Deposit (CDs) – Finance Subcommittee Chair and UCR Depository Manager

For Discussion and Possible Board Action

The Finance Subcommittee Chair and UCR Depository Manager will lead a discussion regarding an opportunity to invest 2021 excess fees in two CDs with one half in a CD maturing in January 2023 and one half in a CD maturing in April 2023 at the Bank of North Dakota. The CDs will earn a better rate-of-return than the savings accounts at the Bank of North Dakota where these funds are currently deposited. The 2021 excess fees will not be utilized until January 2023 at the earliest, so earning a higher rate-of-return will increase the excess fees, providing for additional funding in 2023. The Board may take action to invest the 2021 excess

fees in CDs at the Bank of North Dakota. The Finance Subcommittee recommends that the Board authorize the investment of 2021 excess fees in two separate CDs as presented.

Education and Training Subcommittee – Education and Training Subcommittee Chair

A. Update on Current and Future Training Initiatives – Education and Training Subcommittee Chair

The UCR Education and Training Subcommittee Chair will provide an update on the planned future training initiatives for the UCR Plan.

VII. Contractor Reports – UCR Executive Director

- **UCR Executive Director’s Report**

The UCR Executive Director will provide a report covering recent activity for the UCR Plan.

- **DSL Transportation Services, Inc.**

DSL Transportation Services, Inc. will report on the latest data from the FARs program, discuss motor carrier inspection results, and other matters.

- **Seikosoftware**

Seikosoftware will provide an update on recent/new activity related to the NRS.

- **UCR Administrator Report (Kellen)**

The UCR Staff will provide a management report covering recent activity for the Depository, Operations, and Communications.

VIII. Other Business – UCR Board Chair

The UCR Board Chair will call for any other items Board members would like to discuss.

IX. Adjournment – UCR Board Chair

The UCR Board Chair will adjourn the meeting.